



ITW *21*

PTO/SB/21 (02-84)  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/807,041
	Filing Date	March 23, 2004
	First Named Inventor	Joseph K. Garretson
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	12,584

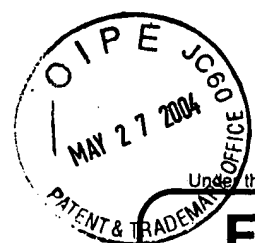
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Haeffliger
Signature	
Date	May 24, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	William W. Haeffliger		
Signature		Date	May 24, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 170.

## Complete if Known

Application Number	10/807,041
Filing Date	March 23, 2004
First Named Inventor	Joseph K. Garretson
Examiner Name	
Art Unit	
Attorney Docket No.	12,584

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

08-0118

William W. Haefliger

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.

## SUBMITTED BY

Name (Print/Type)	William W. Haefliger	Registration No. (Attorney/Agent)	17,120	Telephone	323 684-2107
Signature		Date	May 24, 2004		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Group Art Unit

Examiner:

Original Applicant:

Joseph K. Garretson

Serial No:

10/807,041

Filed:

March 23, 2004

For:

LOUDSPEAKER SOUND  
MODULATION APPLIANCE

-----  
Pasadena, California

REQUEST FOR ADDING NON-JOINED INVENTOR  
UNDER RULE 1.45 AND 35 U.S.C. 116

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please amend the above-identified patent  
application as follows:

Change the entity of inventorship from Joseph K.  
Garretson to Joseph K. Garretson and Lewis C. Iby.

Enter the substitute Declaration herewith in lieu  
of the original Declaration.

05/28/2004 SZEWDIE1 00000040 10807041

02 FC:1460

130.00 OP

Serial No.10/807,041

R E M A R K S


Herewith in support of the requested change of inventorship under Rule 1.45 and 35 U.S.C. 117 are the following papers.

- (1) statement of Joseph K. Garretson, constituting the verified statement of facts;
- (2) substitute Declaration of Joseph K. Garretson and Lewis C. Iby, the original, and joint inventors of the subject matter now claimed in the above application; and
- (3) the consent of the Assignee, Scosche Industries, Inc.

The accompanying papers are believed to be self-explanatory and to provide the proper basis for adding joint inventor. The error of nonjoinder inventorship arose without deceptive intention on the part of the original applicant.

The petition fee is enclosed. Kindly charge any additional fee to Account 08-0118.

Respectfully submitted,

  
William W. Haefliger  
Attorney for Applicants  
Registration No.17,120  
Area Code 323 684-2707

WWH:hk  
Enc.  
Docket 12,584



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Group Art Unit

Examiner:

Original Applicant:

Joseph K. Garretson

Serial No:

10/807,041

Filed:

March 23, 2004

For:

LOUDSPEAKER SOUND  
MODULATION APPLIANCE

-----  
Pasadena, California

CONSENT OF ASSIGNEE SCOSCHE INDUSTRIES, INC.

SCOSCHE INDUSTRIES, INC., a corporation duly organized and existing under the laws of the State of California, having its principal office and place of business at Oxnard, California 93033, being the Assignee and/or Assignor of record of the entire right, title and interest of Joseph K. Garretson in and to the above application (see copy attached) hereby consents to the change of inventorship to JOSEPH K. GARRETSON and LEWIS C. IBY, jointly.

SCOSCHE INDUSTRIES, INC.

Dated 5/21/04

By 

Roger G. Alves,  
President



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Group Art Unit

Examiner:

Original Applicant:

Joseph K. Garretson

Serial No:

10/807,041

Filed:

March 23, 2004

For:

LOUDSPEAKER SOUND  
MODULATION APPLIANCE

-----  
Pasadena, California

STATEMENT OF JOSEPH K. GARRETSON

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, JOSEPH K. GARRETSON, declare, and say as follows:

(1) That I believe that I was erroneously designated as inventor of the subject matter described and claimed in the above-identified application:

(2) That such designation as inventor occurred through error and without any deceptive intention, as shown by the following facts:

(a) that at the time of preparation and filing of the above application, through

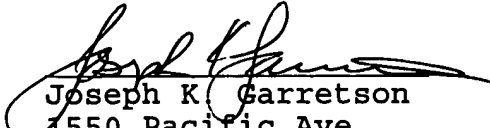
Serial No.10/807,041

inadvertence I did not consult in detail with LEWIS C. IBY, to inventorship of the invention,

- (b) that in early May, 2004, I reviewed the invention in detail and now believe LEWIS C. IBY, had a considerable role in the conception of the invention;
- (c) I now believe that the two of us, JOSEPH K. GARRETSON and LEWIS C. IBY, are the first, joint, and true inventors of the subject matter disclosed and claimed in said application, Serial No. 10/807,041.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of a resulting patent.

Dated 5/21/04

  
Joseph K. Garretson  
1550 Pacific Ave.  
Oxnard, CA 93033



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Group Art Unit

Examiner:

Original Applicant:

Joseph K. Garretson

Serial No:

10/807,041

Filed:

March 23, 2004

For:

LOUDSPEAKER SOUND  
MODULATION APPLIANCE

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Pasadena, California

STATEMENT OF LEWIS C. IBY

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

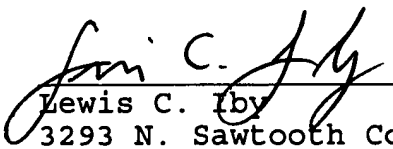
Sir:

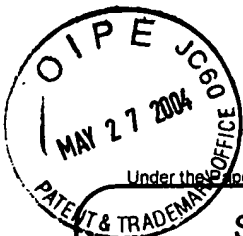
I, LEWIS C. IBY, referred to in the accompanying  
Request for Adding Non-Joined Inventor, state as follows:

(1) That I am a citizen of the United States of  
America.

(2) That I have read the accompanying Statement  
of JOSEPH K. GARRETSON and concur in its recitations.

Dated 5/21/04

  
Lewis C. Iby  
3293 N. Sawtooth Court  
Westlake Village, CA  
91362



PTO/SB/04 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**SUPPLEMENTAL  
DECLARATION FOR UTILITY  
OR DESIGN  
PATENT APPLICATION  
(37 CFR 1.67)**

Attorney Docket Number	12,584
First Named Inventor	Joseph K. Garretson
COMPLETE IF KNOWN	
Application Number	10/807,041
Filing Date	March 23, 2004
Art Unit	
Examiner Name	

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LOUDSPEAKER SOUND MODULATION APPLIANCE

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) March 23, 2004 as United States Application Number or PCT International

Application Number 10/807,041 and was amended on (MM/DD/YYYY)

I hereby declare that the subject matter of the ☒ attached amendment ☐ amendment filed on was part of the invention and was invented before the filing date of the original application, above identified for such invention.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed		Certified Copy Attached?	
			YES	NO	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## SUPPLEMENTAL DECLARATION — UTILITY OR DESIGN PATENT APPLICATION

Direct all correspondence to: <input checked="" type="checkbox"/>		Customer Number: 2675		OR <input type="checkbox"/> Correspondence address below	
Name William W. Haeffliger					
Address 201 S. Lake Ave., Suite 512					
Address					
City Pasadena		State Calif.		ZIP 91101	
Country USA		Telephone 323 684-2707		Fax 626 449-0520	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name Joseph K.		Family Name or Surname Garretson			
Inventor's Signature <i>Joseph K. Garretson</i>				Date 5/21/04	
Residence: City Oxnard		State Calif.		Country USA	
Citizenship USA					
Mailing Address 1550 Pacific Ave.					
Mailing Address					
City Oxnard		State Calif.		ZIP 93033	
Country USA					
Name of Second Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name Lewis C.		Family Name or Surname Iby			
Inventor's Signature <i>Lewis C. Iby</i>				Date 5/21/04	
Residence: City Westlake Village		State Calif.		Country USA	
Citizenship USA					
Mailing Address 3293 N. Sawtooth Court					
Mailing Address					
City Westlake Village		State Calif.		ZIP 91362	
Country USA					
<input type="checkbox"/> Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/ 02A or 02LR attached hereto.					